

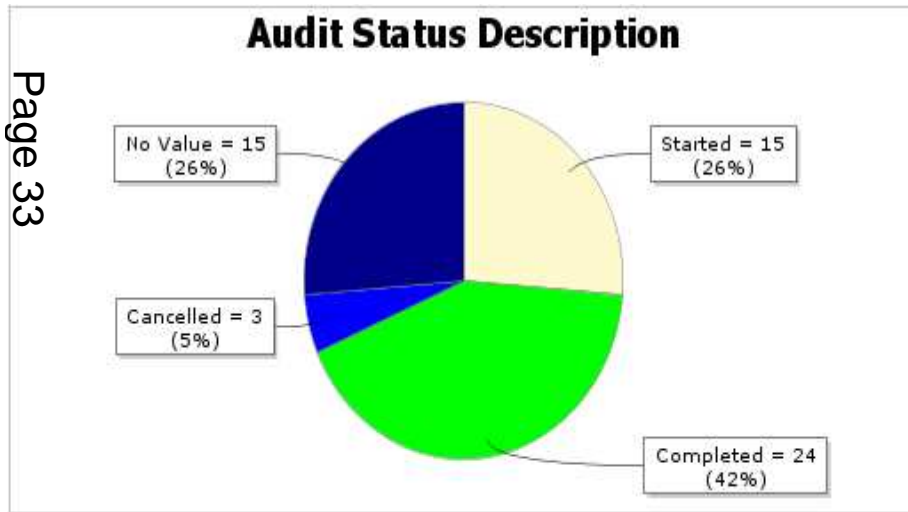


Internal Audit Performance Report 2015/16 Quarter 3

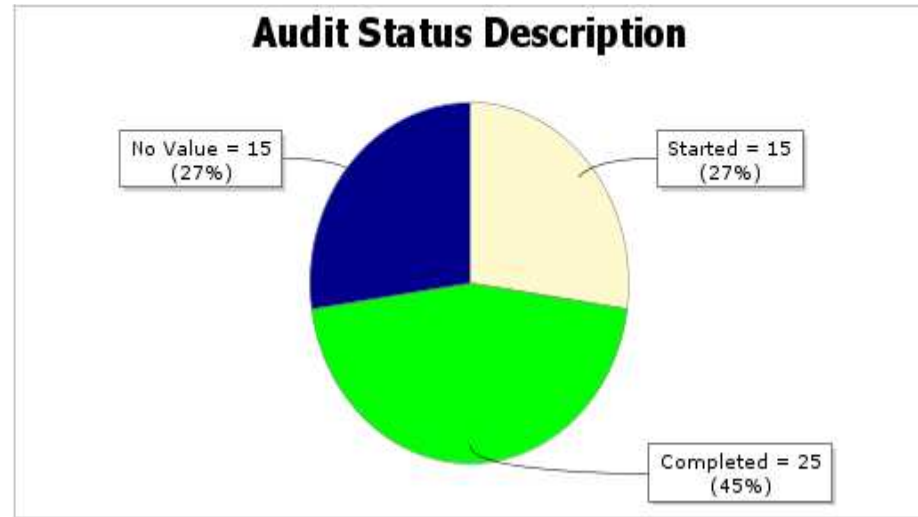
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 Report Author: Angela Struthers
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Original Plan











Revised Plan



Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Treasury Management Qtr3 2015/16	Finance			Main financial system – interim
Council Tax	Finance	✓	Completed	Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
NNDR	Finance	✓	Completed	Main financial system – interim
Bank Reconciliation & Cash Collection	Finance	✓	Completed	Main financial system – interim
Housing Rents	Housing & Health	✓	Started	Main financial system – interim
Debtors	Finance	✓	Started	Main financial system – interim
Main Accounting & Budgetary Control	Finance	✓	Started	Main financial system – interim
Capital Strategy & Programme Management	Finance			Main financial system – interim
Housing Anti-Social Behaviour	Housing & Health	✓	Completed	Risk based review
Debtors & Procurement	Finance	✓	Started	Main financial system – interim
Housing & Council Tax Benefits	Finance	✓	Completed	Main financial system – interim
Payroll	Transformation & Corporate Performance			Main financial system – interim
Housing Repairs QTR 2	Housing & Health	✓	Completed	Main financial system – interim
Housing Repairs QTR 4	Housing & Health			Main financial system – interim
Property Contracts QTR 2	Assets & Environment	✓	Completed	Main financial system – interim
Property Contracts QTR 3	Assets & Environment	✓	Started	Main financial system – interim
Municipal Charities	Corporate	✓	Completed	Transactional
I Trent	Technology & Corporate Programmes	✓	Started	Information Technology
Pension Contributions	Transformation & Corporate Performance	✓	Completed	Compliance
Housing Repairs QTR 1	Housing & Health	✓	Completed	Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Housing Repairs QTR 3	Housing & Health	✓	Started	Main financial system – interim
Property Contracts QTR 1	Assets & Environment	✓	Completed	Main financial system – interim
Property Contracts QTR 4	Assets & Environment			Main financial system – interim
Treasury Management Qtr4 2014/15	Finance	✓	Completed	Main financial system – interim
Treasury Management Qtr1 2015/16	Finance	✓	Completed	Main financial system – interim
Treasury Management Qtr2 2015/16	Finance	✓	Completed	Main financial system – interim
Transparency Code	Corporate	✓	Completed	Compliance
Safeguarding Children & Vulnerable Adults	Solicitor & Monitoring Officer	✓	Completed	System based review
Assembly Rooms Bar	Communities, Planning & Partnerships	✓	Started	System based review
Housing Voids & Lettings	Housing & Health	✓	Completed	System based review
IT Governance	Technology & Corporate Programmes	●	Cancelled	Consultancy
Performance Framework	Transformation & Corporate Performance			Consultancy
Alternative Delivery Models	Corporate	✓	Started	System based review
Assembly Rooms Project	Communities, Planning & Partnerships			Consultancy
Electoral Process	Solicitor & Monitoring Officer			System based review
Asbestos & Legionella	Assets & Environment	✓	Completed	Risk based review
Recruitment Process	Transformation & Corporate	✓	Completed	System based review

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
	Performance			
Planning Enforcement	Communities, Planning & Partnerships		Completed	Risk based review
Community Safety/ Development	Communities, Planning & Partnerships		Cancelled	System based review
Transformation process/ Corporate Change	Corporate			System based review
Review of Culture/ Ethics	Corporate		Started	Consultancy
RIPA	Solicitor & Monitoring Officer		Started	Compliance
Customer Services	Transformation & Corporate Performance			Risk based review
Corporate Complaints/ Service Feedback	Transformation & Corporate Performance		Started	System based review
Corporate Business Continuity	Technology & Corporate Programmes			System based review
Car Parking	Assets & Environment			Risk based review
Taxi/PHV Licences	Assets & Environment		Started	System based review
Private Sector Housing Leasing Scheme	Housing & Health			System based review
Telephony Project Implementation Review	Technology & Corporate Programmes			Information Technology
IT Disaster Recovery	Technology & Corporate Programmes		Started	Information Technology
DIP Application Review	Technology & Corporate Programmes			Information Technology
IT Governance Review	Technology & Corporate		Cancelled	Information Technology

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
	Programmes			
Organisational Development	Transformation & Corporate Performance	✓	Completed	Risk based review
Homelessness	Housing & Health	✓	Completed	Risk based review
Commercial & Industrial Properties	Assets & Environment	✓	Started	Consultancy
Electoral Registration/Canvassing Process	Solicitor & Monitoring Officer	✓	Completed	System based review
M3 Application Review	Technology & Corporate Programmes	✓	Completed	Information Technology
Additional Pensions Contributions Review	Transformation & Corporate Performance	✓	Completed	Transactional – Additional

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